

Finance English 期末考試重點

(Taiwanese students)

課本範圍(Textbook)

1. 基金評等有 3 類 9 級，請以圖解法進說明(P.60)
2. P.65-P.66 上半段 **Buying Bonds**
3. P.74-75 all (在講投資共同基金的優點與缺點)
4. P.84 **Buying and Selling**
5. P.85 **The value of your fund**
6. P.123 **What is Technical Analysis**
7. P.139-140 **Conclusion**
8. P. 154-156 Why cash flow is so important to a company?

註：題目 2-7 是英翻中題目，其他是問答題

上述題目佔 50%

The supplementary questions came from the Website

Q1. Some common risk management objectives chosen by companies to frame their ERM approach, What important contents should include inside?

Q2. When defining risk management goals and objectives, management should ask “tough questions”, Write out at least six basic questions to take into consideration.

The answer can be found in the following website.

<http://info.knowledgeleader.com/bid/164011/how-to-define-risk-management-goals-and-objectives-in-your-organization>

Q3. Risk can come from both internal and external sources, write out the items of internal and external risk?

References

Risk can come from both internal and external sources. The external risks are those that are not in direct control of the management. These include political issues, exchange rates, interest rates, and so on. Internal risks, on the other hand, include non-compliance or information breaches, among several others.

Risk management is important in an organisation because without it, a firm cannot possibly define its objectives for the future. If a company defines objectives without taking the risks into consideration, chances are that they will lose direction once any of these risks hit home. In recent years, many companies have added risk management departments to their team. The role of this team is to identify risks, come up with strategies to guard against these risks, to execute these strategies, and to motivate all members of the company to cooperate in these strategies. Larger organisations generally face more risks, so their risk management strategies also need to be more sophisticated. Also, the risk management team is responsible for assessing each risk and determining which of them are critical for the business. The critical risks are those that could have an adverse impact on the business; these should then be given importance and should be prioritized. The whole goal of risk management is to make sure that the company only takes the risks that will help it achieve its primary objectives while keeping all other risks under control.

<https://www.careersinaudit.com/article/the-importance-of-risk-management-in-an-organisation/>

Q4. Generally, risks to the Company's success can be grouped into four categories: (1) Strategic, (2) Operational, (3) Compliance and (4) Financial & Reporting. Specific examples of each type of risk are included in the website linkage below.

Write out the answers

- (1) Strategic Risk
- (2) Operational Risk
- (3) Compliance Risk

Reference website

<https://www.jnj.com/document?id=0000015a-678b-d85b-a1da-779f4cfe0000>

(at P.8)

Q5.

Write out your learning viewpoints and harvest in Finance English.

Q6. Write out the answers

J&J Enterprise Risk Management Framework Components

Reference website

<https://www.jnj.com/document?id=0000015a-678b-d85b-a1da-779f4cfe0000>

(at P.14)

英文出題，可中文回答

上述題目佔 50%